

FORM PT-1300 (Modified)  
(REV 11-99)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES**  
**DESIGNATED/ELECTED OFFICE (DO/EO/US)**  
**CONCERNING A FILING UNDER 35 U.S.C. 371**

6001-0110

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR  
To Be Assigned **09/762572**

INTERNATIONAL APPLICATION NO.  
**PCT/EP99/05910**

INTERNATIONAL FILING DATE  
**11-August-1999**

PRIORITY DATE CLAIMED  
**13-August-1998**
**TITLE OF INVENTION**
**Multi-Layer Biaxially Oriented Polypropylene Film Having An Improved Barrier, A Method for the Production Thereof, and the Use Thereof**

APPLICANT(S) FOR DO/EO/US

**Speith-Herfurth, et al.**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ A copy of the International Search Report (PCT/ISA/210).
8. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

**Items 13 to 20 below concern document(s) or information included:**

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ Certificate of Mailing by Express Mail
20. ☒ Other items or information:

1. PCT Form 101; and
2. PCT Form 304.

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.53) To <b>09/17/2012 572</b>		INTERNATIONAL APPLICATION No. <b>PCT/EP99/05910</b>		ATTORNEY'S DOCKET NUMBER <b>6001-0110</b>	
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21. The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :**

<input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO .....	<b>\$970.00</b>
<input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO .....	<b>\$840.00</b>
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....	<b>\$690.00</b>
<input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) .....	<b>\$670.00</b>
<input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) .....	<b>\$96.00</b>

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). <input type="checkbox"/> 20 <input type="checkbox"/> 30	<b>\$0.00</b>
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CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	19 - 20 =	0	x \$18.00	<b>\$0.00</b>
Independent claims	2 - 3 =	0	x \$78.00	<b>\$0.00</b>
Multiple Dependent Claims (check if applicable). <input type="checkbox"/>				<b>\$0.00</b>
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$840.00</b>
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). <input type="checkbox"/>				<b>\$0.00</b>
<b>SUBTOTAL =</b>				<b>\$840.00</b>
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30 +				<b>\$0.00</b>
<b>TOTAL NATIONAL FEE =</b>				<b>\$840.00</b>
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input checked="" type="checkbox"/>				<b>\$40.00</b>
<b>TOTAL FEES ENCLOSED =</b>				<b>\$880.00</b>
				Amount to be refunded \$
				charged \$

☒ A check in the amount of **\$880.00** to cover the above fees is enclosed.

☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **50-0622** A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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**35,020**  
REGISTRATION NUMBER

*Feb 8 2001*  
DATE

09/762572

JC05 Rec'd PCT/PTO 08 FEB 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Speith-Herfurth, et al.

Serial No.: To Be Assigned

Filed: Herewith

Atty. Docket: 6001-0110

For: Multi-Layer Biaxially Oriented  
Polypropylene Film Having an Improved Barrier,  
a Method for the Production Thereof, and the Use  
Thereof

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Prior to examination of the above-identified application, Applicant herewith  
respectfully requests the following amendments:

IN THE CLAIMS:

3. A polypropylene film as claimed in claim 1 [and/or 2], wherein the wax is a polyethylene wax having an Mw/Mn of from 1 to 2.
4. A polypropylene film as claimed in [one or more of] claim[s] 1 [to 3], wherein the wax is a macrocrystalline paraffin (paraffin wax) or a microcrystalline paraffin (microwax).
5. A polypropylene film as claimed in [one or more of] claim[s] 1 [to 4], wherein the interlayer has a thickness of from 0.2 to 10  $\mu\text{m}$ , preferably from 0.4 to 5  $\mu\text{m}$ .

6. A polypropylene film as claimed in [one or more of] claim[s] 1 [to 5], wherein the interlayer comprises a highly isotactic propylene homopolymer having a chain isotacticity index of the n-heptane-insoluble content, determined by <sup>13</sup>C-NMR spectroscopy, of at least 95%, preferably from 96 to 99%.

7. A polypropylene film as claimed in [one or more of] claim[s] 1 [to 6], which has a heat-sealable top layer of the olefinic polymers on both sides.

8. A polypropylene film as claimed in [one or more of] claim[s] 1 [to 7], wherein wax-containing interlayers of olefinic polymers, preferably propylene homopolymer, are applied on both sides between the base layer and the interlayer(s).

9. A polypropylene film as claimed in [one or more of] claim[s] 1 [to 8], which has a matt top layer.

10. A polypropylene film as claimed in [one or more of] claim[s] 1 [to 9], wherein the base layer comprises a highly isotactic propylene homopolymer having a chain isotacticity index of the n-heptane-insoluble content, determined by <sup>13</sup>C-NMR spectroscopy, of at least 95%, preferably from 96 to 99%.

11. A polypropylene film as claimed in [one or more of] claim[s] 1 [to 10], wherein the base layer comprises a hydrocarbon resin in an amount of from 1 to 20% by weight, based on the weight of the base layer.

12. A polypropylene film as claimed in [one or more of] claim[s] 1 [to 11], wherein the base layer comprises an antistatic, preferably a tertiary aliphatic amine.

13. A polypropylene film as claimed in [one or more of] claim[s] 1 [to 12], wherein the film is transparent and has a thickness of from 4 to 80 μm.

14. A polypropylene film as claimed in [one or more of] claim[s] 1 [to 12], wherein the film is opaque and/or white and has a light transparency of at most 70%.

16. A polypropylene film as claimed in [one or more of] claim[s] 1 [to 15], wherein the top layer(s) comprise(s) lubricants, preferably polydimethylsiloxane and antiblocking agents, preferably  $\text{SiO}_2$ .

17. A polypropylene film as claimed in [one or more of] claim[s] 1 [to 16], wherein all layers of the film comprise neutralizer and stabilizer.

19. The use of a polypropylene film as claimed in [one or more of] claim[s] 1 [to 17] as a packaging film, preferably as a cigarette wrapping film.

09/25/22 09:00:00

REMARKS

It is respectfully requested that the Examiner enter these amendments prior to examining the application on its merits.

Respectfully submitted,

SHANKS & HERBERT

By: David W. Woodward  
David W. Woodward  
Reg. No. 35,020

Date: February 8, 2001

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1998/N005

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**Multilayer, biaxially oriented polypropylene film having improved barrier properties, process for the production thereof, and the use thereof**

The invention relates to a multilayer, biaxially oriented polypropylene film comprising a base layer and at least one heat-sealable top layer and at least one interlayer in accordance with a BZD layer structure, which film comprises wax in its interlayer.

The improvement in the barrier properties of films, in particular of films for the packaging sector, has recently increased in importance. For cost and environmental reasons, the packaging industry desires ever thinner films which have the same or improved barrier properties, in particular with respect to the passage of water vapor.

The barrier action of BOPP films to water vapor (WVBA) and oxygen (OBA) decreases with the film thickness. In the usual thickness range of BOPP films (from 4 to 100  $\mu\text{m}$ ) there is an approximately hyperbolic relationship between the water vapor barrier action (WVBA) and the thickness (d) ( $\text{WVBA} \times d = \text{const.}$ ). The constant depends essentially on the raw material composition and the stretching conditions. For BOPP packaging films in accordance with the prior art, the constant has a value of approximately:  $\text{const.} = 28 \text{ g}\cdot\text{mm}/\text{m}^2\cdot\text{d}$ . The water vapor permeability here has been measured in accordance with DIN 53 122.

US-A-4,921,749 (= EP-A-0 247 898) describes a heat-sealable BOPP film having improved mechanical and optical properties. The heat-sealability of the film and the water vapor and oxygen permeability are likewise improved. All the improvements result from the addition of a low-molecular-weight resin to the base layer. The resin content here is between 3 and 30% by weight. The resin

has a molecular weight of significantly less than 5000, preferably less than 1000, and is, for example, 600. The softening point of the resin is from 120 to 140°C.

- 5 US 5,155,160 describes the improvement in the barrier properties by the addition of wax to unoriented polypropylene films. The waxes described are paraffin waxes and polyethylene waxes having a molecular weight of from 300 to 800. The barrier action is said to be less than 0.2 g/100 square inches/24 hours.

- 10 There is a continuous demand for a further improvement in the water vapor barrier action of biaxially oriented packaging films made from polypropylene. All the methods disclosed hitherto fail to reduce the water vapor barrier action to the desired extent or impair other essential film properties in an  
15 unacceptable manner.

- The object of the present invention was therefore to provide a biaxially oriented polypropylene film which is distinguished by a good barrier action, in particular to water vapor, and has good mechanical properties. It must be possible to  
20 produce the film with reliable running and operation at production speeds of up to 400 m/min. Other physical film properties required in view of their use as packaging film must not be adversely affected. The film should have high gloss, no optical defects in the form of fisheyes or bubbles, good scratch resistance, fault-free running on high-speed packaging machines at low film  
25 thickness, and, for transparent embodiments, low film haze. In addition, the heat-sealing properties must not be adversely affected.

This object is achieved in accordance with the invention by a multilayer polypropylene film of the generic type mentioned at the outset, wherein the



interlayer comprises a wax having a mean molecular weight (number average) of from 200 to 1200.

In a preferred embodiment, the film consists of a base layer B, interlayers Z applied to both sides thereof, and top layers D applied to the interlayers, i.e. a five-layer symmetrical structure DZBZD. In a further preferred embodiment, the film consists of a base layer B, an interlayer Z applied to one side thereof, and top layers D applied to the base layer and the interlayer in accordance with DBZD. If desired, these basic structures comprising three, four or five layers may contain further interlayers.

The base layer of the film generally comprises at least 70 to 100% by weight, preferably from 75 to 98% by weight, in particular from 80 to 95% by weight, in each case based on the base layer, of a propylene polymer described below.

This propylene polymer comprises at least 90% by weight, preferably from 94 to 100% by weight, in particular from 98 to 100% by weight, of propylene. The corresponding comonomer content of at most 10% by weight or from 0 to 6% by weight or from 0 to 2% by weight respectively generally consists, if present, of ethylene. The data in percent by weight in each case relate to the propylene homopolymer.

Of the above-mentioned propylene polymers, isotactic propylene homopolymers are preferred for the base layer. In general, the propylene homopolymer has a melting point of from 140 to 170°C, preferably from 150 to 165°C, and a melt flow index (measurement DIN 53 735 at a load of 21.6 N and 230°C) of from 1.5 to 20 g/10 min, preferably from 2 to 15 g/10 min. The n-heptane-soluble content of the polymer is generally from 1 to 6% by weight, based on the polymer.

In a preferred embodiment of the invention, the propylene homopolymer employed is highly isotactic. For highly isotactic propylene homopolymers of this type, the chain isotacticity index of the n-heptane-insoluble content of the polypropylene, determined by  $^{13}\text{C}$ -NMR spectroscopy, is at least 95%, preferably from 96 to 99%.

In a further preferred embodiment of the film according to the invention, the propylene homopolymer of the base layer has been peroxidically degraded.

A measure of the degree of degradation of the polymer is the so-called degradation factor A, which indicates the relative change in the melt flow index, measured in accordance with DIN 53 735, of the polypropylene, based on the starting polymer.

15

$$A = \frac{MFI_2}{MFI_1}$$

$MFI_1$  = melt flow index of the propylene polymer before addition of the organic peroxide

20

$MFI_2$  = melt flow index of the peroxidically degraded propylene polymer

25

In general, the degradation factor A of the propylene polymer employed is in the range from 1.5 to 15, preferably from 1.5 to 10.

Particularly preferred organic peroxides are dialkyl peroxides, where the term alkyl radical is taken to mean the conventional saturated straight-chain or branched lower alkyl radicals having up to six carbon atoms. Particular

preference is given to 2,5-dimethyl-2,5-di(t-butylperoxy)hexane and di-t-butyl peroxide.

5 In general, the base layer comprises conventional stabilizers and neutralizers in effective amounts in each case, and also, if desired, an antistatic and/or hydrocarbon resin. All amounts in % by weight below relate to the weight of the base layer.

10 Stabilizers which can be employed are the conventional stabilizing compounds for polymers of ethylene, propylene and other olefins. Their added amount is between 0.05 and 2% by weight. Particularly suitable are phenolic stabilizers, phosphitic stabilizers, alkali metal/alkaline earth metal stearates and/or alkali metal/alkaline earth metal carbonates. Preference is given to phenolic stabilizers in an amount from 0.1 to 0.6% by weight, in particular from 0.15 to 15 0.3% by weight, and having a molecular weight of greater than 500 g/mol. Pentaerythrityl tetrakis-3-(3,5-di-tert-butyl-4-hydroxyphenyl)propionate and 1,3,5-trimethyl-2,4,6-tris(3,5-di-tert-butyl-4-hydroxybenzyl)benzene are particularly advantageous.

20 Neutralizers are preferably dihydrotalcite, calcium stearate and/or calcium carbonate having a mean particle size of at most 0.7  $\mu\text{m}$ , an absolute particle size of less than 10  $\mu\text{m}$  and a specific surface area of at least 40  $\text{m}^2/\text{g}$ . In general, the film comprises from 0.02 to 2% by weight, preferably from 0.03 to 1% by weight, of neutralizer.

25 Preferred antistatics are the essentially straight-chain and saturated aliphatic, tertiary amines containing an aliphatic radical having 10 to 20 carbon atoms which are substituted by  $\omega$ -hydroxy-( $\text{C}_1$ - $\text{C}_4$ )alkyl groups, where N,N-bis(2-hydroxyethyl)alkylamines having 10 to 20 carbon atoms, preferably 12 to 18

carbon atoms, in the alkyl radical are particularly suitable. Also suitable as antistatics are monoesters of glycerol and aliphatic fatty acids, preference being given to fatty acid radicals having 10 to 20 carbon atoms. Glycerol monostearate is particularly preferred.

5

The resin-modified embodiments comprise the resin in an amount of from 1 to 20% by weight, preferably from 1 to 12% by weight, in particular from 1 to 10% by weight, based on the weight of the base layer.

10

Hydrocarbon resins are low-molecular-weight polymers whose mean molecular weight (weight average) is generally in the range from 300 to 8000, preferably from 400 to 5000, preferably from 500 to 2000. The mean molecular weight of the resins is thus significantly lower than that of the propylene polymers which form the principal component of the individual film layers and generally have a mean molecular weight of greater than 100,000.

15

Preferred resins are hydrocarbon resins which have, if desired, been partially or preferably fully hydrogenated. Suitable hydrocarbon resins are basically synthetic resins or resins of natural origin, which are generally partially or fully hydrogenated. It has proven particularly advantageous to employ resins having a softening point of  $> 80^{\circ}\text{C}$  (measured in accordance with DIN 1995-U4 or ASTM E-28), preference being given to those having a softening point of from 100 to  $180^{\circ}\text{C}$ , in particular from 120 to  $160^{\circ}\text{C}$ .

20

Of the numerous resins, preference is given to hydrocarbon resins in the form of petroleum resins, styrene resins, cyclopentadiene resins and terpene resins (these resins are described in Ullmanns Encyklopädie der techn. Chemie [Ullmann's Encyclopaedia of Industrial Chemistry], 4<sup>th</sup> Edition, Volume 12, pages 525 to 555).

25

- The petroleum resins are hydrocarbon resins prepared by polymerization of deep-decomposed petroleum materials in the presence of a catalyst. These petroleum materials usually comprise a mixture of resin-forming substances, such as styrene, methylstyrene, vinyltoluene, indene, methylindene, butadiene, isoprene, piperylene and pentylene. The styrene resins are homopolymers of styrene or copolymers of styrene with other monomers, such as methylstyrene, vinyltoluene or butadiene. The cyclopentadiene resins are cyclopentadiene homopolymers or cyclopentadiene copolymers obtained from coal tar distillates and fractionated petroleum gas. These resins are prepared by keeping the materials containing cyclopentadiene at high temperature for a long period of time. Depending on the reaction temperature, dimers, trimers or oligomers can be obtained.
- The terpene resins are polymers of terpenes, i.e. hydrocarbons of the formula  $C_{10}H_{16}$ , which are present in virtually all essential oils or oil-containing resins from plants, and phenol-modified terpene resins. Specific examples of terpenes which may be mentioned are pinene,  $\alpha$ -pinene, dipentene, limonene, myrcene, camphene and similar terpenes. The hydrocarbon resins can also be so-called modified hydrocarbon resins. The modification is generally carried out by reaction of the raw materials before the polymerization, by the introduction of specific monomers or by reaction of the polymerized product, in particular by hydrogenation or partial hydrogenation.
- The hydrocarbon resins employed are furthermore styrene homopolymers, styrene copolymers, cyclopentadiene homopolymers, cyclopentadiene copolymers and/or terpene polymers having a softening point of in each case above  $120^{\circ}\text{C}$  (in the case of unsaturated polymers, the hydrogenated product is preferred). Very particular preference is given to the use of cyclopentadiene

polymers having a softening point of at least 125°C or copolymers of  $\alpha$ -methylstyrene and vinyltoluene having a softening point of from 110 to 160°C in the base layer.

- 5 In a white or opaque or white/opaque embodiment of the film according to the invention, the base layer additionally comprises pigments and/or vacuole-initiating particles. Such films have a light transparency, measured in accordance with ASTM-D 1033-77, of at most 50%, preferably of at most 70%.
- 10 Pigments cover particles which essentially do not result in vacuole formation when the film is stretched. The coloring action of the pigments is caused by the particles themselves. The term "pigment" is generally associated with a particle size in the range from 0.01 to a maximum of 1  $\mu\text{m}$  and covers both so-called "white pigments", which color the films white, and "colored pigments". The base
- 15 layer generally comprises pigments in an amount of from 1 to 25% by weight, preferably from 2 to 15% by weight, in each case based on the base layer.

Conventional pigments are materials such as, for example, aluminum oxide, aluminum sulfate, barium sulfate, calcium carbonate, magnesium carbonate,

20 silicates, such as aluminum silicate (kaolin clay) and magnesium silicate (talc), silicon dioxide and titanium dioxide, of which white pigments, such as calcium carbonate, silicon dioxide, titanium dioxide and barium sulfate, are preferably employed.

- 25 Opaque embodiments of the films comprise vacuole-initiating particles, which are incompatible with the polymer matrix and result in the formation of vacuole-like cavities when the films are stretched, with the size, nature and number of the vacuoles being dependent on the size of the solid particles and the stretching conditions, such as stretching ratio and stretching temperature. The

vacuoles give the films a characteristic pearl-like opaque appearance caused by light scattering at the vacuole/polymer matrix interfaces. In general, the mean particle diameter of the vacuole-initiating particles is from 1 to 6  $\mu\text{m}$ , preferably from 1.5 to 5  $\mu\text{m}$ . The base layer generally comprises vacuole-initiating particles in an amount of from 1 to 25% by weight.

Conventional vacuole-initiating particles of the base layer are inorganic and/or organic, polypropylene-incompatible materials, such as aluminum oxide, aluminum sulfate, barium sulfate, calcium carbonate, magnesium carbonate, silicates, such as aluminum silicate (kaolin clay) and magnesium silicate (talc), silicon dioxide and titanium dioxide, of which calcium carbonate, silicon dioxide and titanium dioxide are preferably employed. Suitable organic fillers are conventional polymers which are incompatible with the polymer of the base layer, in particular those such as HDPE, polyesters, polystyrenes, polyamides and halogenated organic polymers, preference being given to polyesters, such as, for example, polybutylene terephthalate and polyethylene terephthalate. For the purposes of the present invention, "incompatible materials or incompatible polymers" means that the material or polymer is present in the film in the form of a separate particle or separate phase.

White/opaque films provided with vacuole-initiating particles and with pigments generally comprise the vacuole-initiating particles in an amount of from 1 to 10% by weight, preferably from 1 to 5% by weight, and pigments in an amount of from 1 to 7% by weight, preferably from 1 to 5% by weight.

The density of the opaque or white films can vary within broad limits and depends on the nature and amount of the fillers. The density is generally in the range from 0.4 to 1.1  $\text{g/cm}^3$ . Pigmented films have a density in the order of 0.9  $\text{g/cm}^3$  or above, preferably in the range from 0.9 to 1.1  $\text{g/cm}^3$ . Films

comprising only vacuole-initiating particles have a density of less than  $0.9 \text{ g/cm}^3$ . For packaging films having a content of vacuole-initiating particles of from 2 to 5% by weight, the density is in the range from  $0.6$  to  $0.85 \text{ g/cm}^3$ . For films having a content of vacuole-initiating particles of from 5 to 14% by weight, the density is in the range from  $0.4$  to  $0.8 \text{ g/cm}^3$ . Films which comprise pigments and vacuole-initiating particles have a density in the range from  $0.5$  to  $0.85 \text{ g/cm}^3$ , depending on the ratio of pigment content to content of vacuole-initiating particles.

- 10 The polypropylene film according to the invention furthermore comprises at least one interlayer of polymers made from olefins having 2 to 10 carbon atoms applied to the base layer.

Examples of olefinic polymers of this type are

- 15 a propylene homopolymer or  
a copolymer of  
ethylene and propylene or  
ethylene and 1-butylene or  
propylene and 1-butylene or  
20 a terpolymer of  
ethylene and propylene and 1-butylene or  
a mixture of two or more of said homopolymers, copolymers and  
terpolymers or  
a blend of two or more of said homopolymers, copolymers and  
25 terpolymers, if desired mixed with one or more of said homopolymers,  
copolymers and terpolymers,  
where particular preference is given to propylene homopolymer or  
random ethylene-propylene copolymers having



an ethylene content of from 1 to 10% by weight, preferably from 2.5 to 8% by weight, or  
random propylene-1-butylene copolymers having  
a butylene content of from 2 to 25% by weight, preferably from 4 to 20% by weight,  
in each case based on the total weight of the copolymer, or  
random ethylene-propylene-1-butylene terpolymers having  
an ethylene content of from 1 to 10% by weight, preferably from 2 to 6% by weight, and  
a 1-butylene content of from 2 to 20% by weight, preferably from 4 to 20% by weight,  
in each case based on the total weight of the terpolymer, or  
a blend of an ethylene-propylene-1-butylene terpolymer and a propylene-1-butylene copolymer  
having an ethylene content of from 0.1 to 7% by weight  
and a propylene content of from 50 to 90% by weight  
and a 1-butylene content of from 10 to 40% by weight,  
in each case based on the total weight of the polymer blend.

The propylene homopolymer employed in the interlayer comprises predominantly (at least 98%) propylene and has a melting point of 140°C or above, preferably from 150 to 170°C, preference being given to isotactic homopolypropylene having an n-heptane-soluble content of 6% by weight or less, based on the isotactic homopolypropylene. The homopolymer generally has a melt flow index of from 1.5 g/10 min to 20 g/10 min, preferably from 2.0 g/10 min to 15 g/10 min. In a preferred embodiment of the invention, the propylene homopolymer employed in the interlayer is highly isotactic. For highly isotactic propylene homopolymers of this type, the chain isotacticity index of the n-heptane-insoluble content of the polypropylene, determined by

<sup>13</sup>C-NMR spectroscopy, is at least 95%, preferably from 96 to 99%.

The above-described copolymers and/or terpolymers employed in the interlayer generally have a melt flow index of from 1.5 to 30 g/10 min, preferably from 3 to 15 g/10 min. The melting point is in the range from 120 to 140°C. The above-described blend of copolymers and terpolymers has a melt flow index of from 5 to 9 g/10 min and a melting point of from 120 to 150°C. All the above-mentioned melt flow indices are measured at 230°C and a force of 21.6 N (DIN 53 735).

If desired, all the interlayer polymers described above may have been peroxidically degraded in the same manner as described above for the base layer, basically using the same peroxides. The degradation factor for the interlayer polymers is generally in the range from 1.5 to 15, preferably from 1.5 to 10.

In accordance with the invention, the film comprises in its interlayer a wax for improving the water vapor barrier action. It has been found that the desired barrier action is particularly effective if the amount of wax is not less than a certain minimum amount, based on the total weight of the film, this minimum amount being dependent, inter alia, on the film thickness.

Films having a total thickness of up to 25 µm should advantageously comprise at least 0.5% by weight of wax, based on the weight of the film. Films having a total thickness of >25 to 60 µm should advantageously comprise at least 0.2% by weight of wax, based on the weight of the film. Films having a total thickness of >60 µm should advantageously comprise at least 0.1% by weight of wax, based on the weight of the film.

This advantageous amount of wax selected depending on the film thickness is, in accordance with the invention, added to the interlayer(s). Surprisingly, it is thereby possible to greatly reduce the absolute amount of wax in the film in order to achieve good barrier values. An impairment of other film properties is thereby avoided.

The above-mentioned minimum amounts of wax based on the weight of the film can be set to the most effective range either through the corresponding concentration of wax in the interlayer or through a varying thickness of the interlayer for a given wax concentration. Thus, both the wax concentration in the interlayer and the thickness of the interlayer can be varied in broad ranges, although these two parameters cannot be selected entirely independently of one another. It must be ensured that the advantageous minimum amounts of wax, based on the film, are achieved.

The interlayer generally comprises from 3 to 40% by weight, preferably from 5 to 30% by weight, of wax, based on the weight of the interlayer, where, as already emphasized, the amount of wax must advantageously be selected in such a way that the film – as described above – comprises in total the minimum amount of wax depending on its total thickness.

The thickness of the interlayer is generally in the range from 0.2 to 10  $\mu\text{m}$ , preferably in the range from 0.4 to 5  $\mu\text{m}$ , in particular in the range from 0.5 to 3  $\mu\text{m}$ , the thickness of the interlayer being selected in accordance with the criteria explained above.

For the purposes of the present invention, waxes cover polyethylene waxes and/or paraffins (macrocrystalline and microcrystalline paraffins) having a mean molecular weight (number average) of from 200 to 1200.

Polyethylene waxes are low-molecular-weight polymers which are essentially built up from ethylene units and are partly or highly crystalline. The polymer chains containing the ethylene units are elongated molecules, which may be branched, with relatively short side chains predominating. In general, polyethylene waxes are prepared by direct polymerization of ethylene, if desired with use of regulators, or by depolymerization of polyethylenes of relatively high molecular weight. In accordance with the invention, the polyethylene waxes have a mean molecular weight  $M_n$  (number average) of from 200 to 1200, preferably from 400 to 600, and preferably have a molecular weight distribution (polydispersity)  $M_w/M_n$  of less than 2, preferably from 1 to 1.5. The melting point is generally in the range from 70 to 150°C, preferably from 80 to 100°C.

Paraffins cover macrocrystalline paraffins (paraffin waxes) and microcrystalline paraffins (microwaxes) having a mean molecular weight (number average) of from 200 to 1200. The macrocrystalline paraffins are obtained from vacuum distillate fractions on conversion thereof into lubricating oils. Microcrystalline paraffins originate from the residues of vacuum distillation and the sediments of paraffinic crude oils (deposition paraffins). Macrocrystalline paraffins consist predominantly of n-paraffins which additionally contain isoparaffins, naphthenes and alkylaromatic compounds, depending on the degree of refining. Microcrystalline paraffins consist of a mixture of hydrocarbons which are predominantly solid at room temperature. In contrast to the case in macrocrystalline paraffins, isoparaffins and naphthenic paraffins predominate. Microcrystalline paraffins are distinguished by the presence of crystallization-inhibiting, highly branched isoparaffins and naphthenes. For the purposes of the invention, paraffins having a melting point of from 60 to 100°C, preferably from 60 to 85°C, are particularly suitable.

It has been found that the waxes only develop the desired barrier-improving action in the interlayer if the mean molecular weight (number average) is in the range from 200 to 1200. Although waxes having a higher molecular weight improve the slip properties of a film, they do not have an effect on the barrier action of the film.

In addition to the wax which is essential to the invention, the interlayer may comprise further conventional additives, such as, for example, the neutralizers, stabilizers and antistatics described above for the base layer and conventional lubricants, in effective amounts in each case.

Lubricants are higher aliphatic acid amides, higher aliphatic acid esters and metal soaps, as well as silicone oils. The addition of higher aliphatic acid amides and silicone oils is particularly suitable. Aliphatic acid amides are amides of a water-insoluble monocarboxylic acid having 8 to 24 carbon atoms, preferably from 10 to 18 carbon atoms. Erucamide, stearamide and oleamide are preferred. Suitable silicone oils are polydialkylsiloxanes, preferably polydimethylsiloxane, polymethylphenylsiloxane, olefin-modified silicone, polyether-modified silicone, such as, for example, polyethylene glycol and polypropylene glycol, and epoxyamino- and alcohol-modified silicone. The viscosity of the suitable silicone oils is in the range from 5000 to 1,000,000 mm<sup>2</sup>/s. Polydimethylsiloxane having a viscosity of from 10,000 to 100,000 mm<sup>2</sup>/s is preferred.

For the opaque embodiments of the invention, it must be noted that the interlayer should not comprise any vacuole-initiating fillers in order to prevent vacuoles being formed in the interlayer during stretching of the film. It has been found that the advantages of the invention are impaired in the case of a vacuole-containing interlayer, i.e. that the waxes do not develop their action in

the intended manner and extent in the vacuole-containing interlayer. In particular, the increased barrier action to water vapor is no longer ensured. It is therefore essential for opaque embodiments of the invention that the interlayer comprises no vacuoles.

5

If desired, however, the interlayer in the opaque embodiment of the film may additionally comprise pigments which essentially generate no vacuoles.

10

The pigments employed are the particles described above as pigments for the base layer,  $\text{TiO}_2$  being particularly preferred as pigment for the interlayer. The interlayer generally comprises from 1 to 20% by weight, preferably from 2 to 10% by weight, of pigments, in each case based on the weight of the interlayer.

15

The polypropylene film according to the invention furthermore includes top layers, applied to both sides, comprising polymers of olefins having 2 to 10 carbon atoms.

Examples of olefinic polymers of this type are

20

a propylene homopolymer or

a copolymer of

ethylene and propylene or

ethylene and 1-butylene or

propylene and 1-butylene or

a terpolymer of

25

ethylene and propylene and 1-butylene or

a mixture of two or more of said homopolymers, copolymers and terpolymers or

a blend of two or more of said homopolymers, copolymers and terpolymers, if desired mixed with one or more of said homopolymers, copolymers and terpolymers,

where particular preference is given to propylene homopolymer or

5 random ethylene-propylene copolymers having

an ethylene content of from 1 to 10% by weight, preferably from 2.5 to 8% by weight, or

random propylene-1-butylene copolymers having

10 a butylene content of from 2 to 25% by weight, preferably from 4 to 20% by weight,

in each case based on the total weight of the copolymer, or

random ethylene-propylene-1-butylene terpolymers having

an ethylene content of from 1 to 10% by weight, preferably from 2 to 6% by weight, and

15 a 1-butylene content of from 2 to 20% by weight, preferably from 4 to 20% by weight,

in each case based on the total weight of the terpolymer, or

a blend of an ethylene-propylene-1-butylene terpolymer and a propylene-1-butylene copolymer

20 having an ethylene content of from 0.1 to 7% by weight

and a propylene content of from 50 to 90% by weight

and a 1-butylene content of from 10 to 40% by weight,

in each case based on the total weight of the polymer blend.

25 The propylene homopolymer employed in the top layer of non-heat-sealable embodiments of the film comprises predominantly (at least 98%) propylene and has a melting point of 140°C or above, preferably from 150 to 170°C, preference being given to isotactic homopolypropylene having an n-heptane-soluble content of 6% by weight or less, based on the isotactic homopoly-

propylene. The homopolymer generally has a melt flow index of from 1.5 g/10 min to 20 g/10 min, preferably from 2.0 g/10 min to 15 g/10 min.

5 The above-described copolymers or terpolymers employed in the top layer of heat-sealable embodiments of the film generally have a melt flow index of from 1.5 to 30 g/10 min, preferably from 3 to 15 g/10 min. The melting point is in the range from 120 to 140°C. The above-described blend of copolymers and terpolymers has a melt flow index of from 5 to 9 g/10 min and a melting point of from 120 to 150°C. All the melt flow indices indicated above are measured at  
10 230°C and a force of 21.6 N (DIN 53 735).

If desired, all top-layer polymers described above may have been peroxidically degraded in the same manner as described above for the base layer, basically the same peroxides being used. The degradation factor for the top-layer  
15 polymers is generally in the range from 1.5 to 15, preferably from 1.5 to 10.

In a matt embodiment, the top layer additionally comprises a high density polyethylene (HDPE), which is mixed or blended with the top-layer polymers described above. The composition and details of the matt top layers are  
20 described, for example, in German patent application P 43 13 430.0, which is expressly incorporated herein by way of reference.

The top layers may, as described above for the base layer and interlayer, comprise stabilizers, neutralizers, lubricants, antiblocking agents and/or  
25 antistatics in the corresponding amounts. In a preferred embodiment, the top layers comprise antiblocking agents described below.

Suitable antiblocking agents are inorganic additives, such as silicon dioxide, calcium carbonate, magnesium silicate, aluminum silicate, calcium phosphate



and the like, and/or incompatible organic polymers, such as polyamides, polyesters, polycarbonates and the like, preference being given to benzoguanamine-formaldehyde polymers, silicon dioxide and calcium carbonate. The effective amount of antiblocking agent, preferably  $\text{SiO}_2$ , is in the range from 0.1 to 2% by weight, preferably from 0.1 to 0.8% by weight, in each case based on the weight of the top layer. The mean particle size is between 1 and 6  $\mu\text{m}$ , in particular between 2 and 5  $\mu\text{m}$ , particles having a spherical shape, as described in EP-A-0 236 945 and DE-A-38 01 535, being particularly suitable.

The thickness of the top layer(s) is generally greater than 0.2  $\mu\text{m}$  and is preferably in the range from 0.4 to 2  $\mu\text{m}$ , in particular from 0.5 to 1.5  $\mu\text{m}$ .

The total thickness of the polypropylene film according to the invention can vary within broad limits and depends on the intended use. For transparent embodiments, it is preferably from 4 to 80  $\mu\text{m}$ , preferably from 5 to 50  $\mu\text{m}$ , in particular from 10 to 30  $\mu\text{m}$ . Opaque/white embodiments generally have a thickness of from 10 to 150  $\mu\text{m}$ , preferably from 15 to 100  $\mu\text{m}$ , in particular from 20 to 80  $\mu\text{m}$ , the base layer making up from about 40 to 95% of the total film thickness.

The invention furthermore relates to a process for the production of the polypropylene film according to the invention by the coextrusion process, which is known per se.

As is conventional in the coextrusion process, the polymer or polymer mixture of the individual layers is firstly compressed and liquefied in an extruder, it being possible for any additives added already to be present in the polymer or polymer mixture. The melts are then forced simultaneously through a flat-film

die (slot die), and the extruded multilayer film is taken off on one or more take-off rolls, during which it cools and solidifies.

5 The film obtained in this way is then stretched longitudinally and transversely to the extrusion direction, which results in alignment of the molecule chains. The longitudinal stretching is advantageously carried out with the aid of two rolls running at different speeds corresponding to the desired stretching ratio, and the transverse stretching with the aid of an appropriate tenter frame. The longitudinal stretching ratios are in the range from 5.0 to 9, preferably from 5.5  
10 to 8.5. The transverse stretching ratios are in the range from 5.0 to 9.0, preferably from 6.5 to 9.0.

The biaxial stretching of the film is followed by heat-setting (heat treatment) thereof, during which the film is held at a temperature from 60 to 160°C for from  
15 about 0.1 to 20 seconds. The film is subsequently wound up in a conventional manner by means of a wind-up unit.

It has proven particularly favorable to keep the take-off roll or rolls, by means of which the extruded film is cooled and solidified, at a temperature from 10 to  
20 100°C, preferably from 20 to 70°C, by means of a heating and cooling circuit.

The temperatures at which longitudinal and transverse stretching are carried out can vary in a relatively broad range and depend on the desired properties of the film. In general, the longitudinal stretching is carried out at from 80 to  
25 150°C and the transverse stretching is preferably carried out at from 120 to 170°C.

After the biaxial stretching, one or both surfaces of the film is/are preferably corona- or flame-treated by one of the known methods. The treatment intensity is generally in the range from 36 to 50 mN/m, preferably from 38 to 45 mN/m.

- 5 In the case of corona treatment, an advantageous procedure is to pass the film between two conductor elements serving as electrodes, with such a high voltage, usually an alternating voltage (from about 5 to 20 kV and from about 5 to 30 kHz), being applied between the electrodes that spray or corona discharges can occur. Due to the spray or corona discharge, the air above the  
10 film surface ionizes and reacts with the molecules of the film surface, resulting in the formation of polar inclusions in the essentially non-polar polymer matrix.

- For flame treatment with a polarized flame (see US-A-4,622,237), a direct electric voltage is applied between a burner (negative pole) and a chill roll. The  
15 level of the applied voltage is between 400 and 3000 V, preferably in the range from 500 to 2000 V. The applied voltage gives the ionized atoms increased acceleration, and they hit the polymer surface with greater kinetic energy. The chemical bonds within the polymer molecule are broken more easily, and formation of free radicals proceeds more rapidly. The thermal load on the  
20 polymer is much less here than in the case of standard flame treatment, and films can be obtained in which the heat-sealing properties of the treated side are even better than those of the untreated side.

- The multilayer film according to the invention is distinguished by its good  
25 barrier action against water vapor. It has been found that incorporation of wax in the interlayer is advantageous compared with a synergistic combination of resin and wax in the base layer. Firstly, an excellent improvement in the barrier action can be achieved with a comparatively small absolute amount of wax. Secondly, the film is extremely inexpensive to produce. The barrier values can

be adjusted particularly flexibly via the concentration and the thickness of the interlayer. This facilitates particularly high flexibility to customer wishes.

Surprisingly, the exclusive formulation of the interlayer with wax is sufficient to  
5 achieve a good barrier action. It has been found that additional amounts of  
resin in the interlayer do not effect a further improvement in the barrier action.  
This is all the more surprising as it has been found simultaneously with the  
investigations for this application that the combination of wax and resin in the  
base layer combines in a synergistic manner. These results suggest the  
10 assumption that the mechanisms of action of the wax in the interlayer are  
different than in the base layer of an oriented film, although even today the  
basis for the barrier action-improving action of the wax is still not really  
understood.

15 Surprisingly, additives such as hydrocarbon resins or waxes need not  
additionally be added to either the base layer or the top layer in order to  
guarantee the desired barrier properties.

Furthermore, it has been found that other desirable service properties of the  
20 film are not impaired by the wax in the interlayer. Besides the improved barrier  
action, the film is also distinguished by good transparency, high gloss and  
good heat-sealing properties.

The invention is of major importance in the case of vacuole-containing films. In  
25 this film type, the relatively small amounts of wax in accordance with the  
invention can, in spite of the vacuole-containing base layer, develop a  
surprisingly good barrier action. Conventional vacuole-containing films in which  
wax has been added to the base layer require a considerably greater amount  
of wax compared with transparent films. Presumably, the vacuoles generate an

internal surface to which the wax migrates.

The invention is explained in greater detail by the examples below.

5

### Example 1

A transparent five-layer film having a symmetrical DZBZD structure with a total thickness of 20  $\mu\text{m}$  was produced by coextrusion of the respective raw-material mixtures and subsequent stepwise orientation in the longitudinal and transverse directions. The top layers D each had a thickness of 0.6  $\mu\text{m}$ , and the interlayers Z each had a thickness of 1.5  $\mu\text{m}$ . The calculated wax content, based on the total weight of the film, was 1% by weight. The wax content based on the total weight of the film is calculated from the wax content in the raw-material mixture of the interlayer and the thickness of the interlayer and the total thickness of the film.

15

#### Raw-material mixture of base layer B:

99.85% by wt. of highly isotactic propylene homopolymer having a melting point of 166°C and a melt flow index of 3.4 g/10 min, where the n-heptane-insoluble content had a chain isotacticity index of 98%

20

0.15% by wt. of N,N-bisethoxyalkylamine (antistatic)

#### Raw-material mixture of the interlayers Z:

93.0% by wt. of isotactic polypropylene from Solvay with the trade name ®PHP 405

25

7.0% by wt. of polyethylene wax having a mean molecular weight  $M_n$  of 500 and a molecular weight distribution  $M_w/M_n$  of 1.08

Raw-material mixture of the top layers D:

about 74% by wt. of random ethylene-propylene copolymer having a C<sub>2</sub> content of 4.5% by wt.

about 25% by wt. of random ethylene-propylene-butylene terpolymer having an ethylene content of 3% by weight and a butylene content of 7% by weight (remainder propylene)

0.33% by wt. of SiO<sub>2</sub> as antiblocking agent having a mean particle size of 2 µm

1.20% by wt. of polydimethylsiloxane having a viscosity of 30,000 mm<sup>2</sup>/s

The production conditions in the individual process steps were as follows:

Extrusion:	temperatures	base layer:	260 °C
		top layers:	240 °C
		interlayer:	260°C
Longitudinal stretching:	temperature of the take-off roll:		20 °C
	temperature:		110 °C
Transverse stretching:	longitudinal stretching ratio:		5.5
	temperature:		160 °C
Setting:	transverse stretching ratio:		9
	temperature:		140 °C
	convergence:		20 %

The transverse stretching ratio  $l_t = 9$  is an effective value. This effective value is calculated from the final film width  $W$ , reduced by twice the hem strip width  $w$ , divided by the width of the longitudinally stretched film  $C$ , likewise reduced by twice the hem strip width  $w$ .

**Example 2**

A film was produced as described in Example 1. The raw-material mixture of the interlayer now comprised 10% by weight of the same wax, corresponding to a calculated total content, based on the total weight of the film, of about 1.5% by weight. The remainder of the composition and the production conditions were not changed compared with Example 1.

**Example 3**

A film was produced as described in Example 1. The raw-material mixture of the interlayer now comprised 13.3% by weight of the same wax, corresponding to a calculated total content, based on the total weight of the film, of about 2% by weight. The remainder of the composition and the production conditions were not changed compared with Example 1.

**Example 4**

A film was produced as described in Example 1. The raw-material mixture of the interlayer now comprised 13.3% by weight of the same wax. The thickness of each interlayer was now 3  $\mu\text{m}$ . Correspondingly, the calculated total wax content, based on the total weight of the film, was about 4% by weight. The remainder of the composition and the production conditions were not changed compared with Example 1.

**Example 5**

A film was produced as described in Example 1. The raw-material mixture of the interlayer now comprised 20% by weight of the same wax, corresponding to a calculated total content, based on the total weight of the film, of about 3.0% by weight. The remainder of the composition and the production conditions were not changed compared with Example 1.

**Example 6**

A film was produced as described in Example 4. The raw-material mixture of the interlayer now comprised 20% by weight of the same wax, corresponding to a calculated total content, based on the total weight of the film, of about 6.0% by weight. The remainder of the composition and the production conditions were not changed compared with Example 4.

**Example 7**

A film was produced as described in Example 1. The raw-material mixture of the interlayer now comprised 27% by weight of the same wax, corresponding to a calculated total content, based on the total weight of the film, of about 4.0% by weight. The remainder of the composition and the production conditions were not changed compared with Example 1.

**Example 8**

A film was produced as described in Example 1. The raw-material mixture of the base layer now additionally comprised 10% by weight of a hydrocarbon resin. The remainder of the composition and the production conditions were not changed compared with Example 1.

**Example 9**

A film was produced as described in Example 1. The raw-material mixture of the base layer now additionally comprised 5% by weight of a hydrocarbon resin. The remainder of the composition and the production conditions were not changed compared with Example 1.

**Comparative Example 1**

A film was produced as described in Example 1. In contrast to Example 1, the film now contained no interlayer and comprised no polyethylene wax in either the base



layer or in the top layer. The remainder of the composition and the production conditions were not changed compared with Example 1.

#### **Comparative Example 2**

- 5 A film was produced as described in Comparative Example 1. The raw-material mixture of the base layer now comprised hydrocarbon resin corresponding to a calculated total content, based on the total weight of the film, of 5.0% by weight. The remainder of the composition and the production conditions were not changed compared with Comparative Example 1.

10

#### **Comparative Example 3**

- A film was produced as described in Comparative Example 1. The raw-material mixture of the base layer now comprised hydrocarbon resin corresponding to a calculated total content, based on the total weight of the film, of 8.0% by weight.
- 15 The remainder of the composition and the production conditions were not changed compared with Comparative Example 1.

#### **Comparative Example 4**

- A film was produced as described in Comparative Example 1. The raw-material mixture of the base layer now comprised hydrocarbon resin corresponding to a calculated total content, based on the total weight of the film, of 10.0% by weight. The remainder of the composition and the production conditions were not changed compared with Comparative Example 1.

#### **Comparative Example 5**

- 25 A film was produced as described in Comparative Example 1. The raw-material mixture of the base layer now comprised the same polyethylene wax as described in Example 1 corresponding to a calculated total wax content, based on the total weight of the film, of 1.0% by weight. The film contained no hydrocarbon resin. The

remainder of the composition and the production conditions were not changed compared with Comparative Example 1.

### Comparative Example 6

5 A film was produced as described in Comparative Example 5. The raw-material mixture of the base layer now comprised the same polyethylene wax corresponding to a calculated total wax content, based on the total weight of the film, of 2.0% by weight. The remainder of the composition and the production conditions were not changed compared with Comparative Example 5.

### Comparative Example 7

A film was produced as described in Comparative Example 5. The raw-material mixture of the base layer now comprised the same polyethylene wax corresponding to a calculated total wax content, based on the total weight of the film, of 3.0% by weight. The remainder of the composition and the production conditions were not changed compared with Comparative Example 5.

### Comparative Example 8

20 A film was produced as described in Comparative Example 5. The raw-material mixture of the base layer now comprised the same polyethylene wax corresponding to a calculated total wax content, based on the total weight of the film, of 4.0% by weight. The remainder of the composition and the production conditions were not changed compared with Comparative Example 5.

25 The raw materials and films were characterized using the following measurement methods:

### Melt flow index

The melt flow index was measured in accordance with DIN 53 735 at a load of 21.6 N and 230°C.

### 5 Melting Point

DSC measurement, maximum of the melting curve, heating rate 20°C/min.

### Water vapor and oxygen permeability

10 The water vapor permeability is determined in accordance with DIN 53 122 Part 2.

### Surface tension

The surface tension was determined by the so-called ink method (DIN 53 364).

### 15 Molecular weight determination

The mean molecular weights  $M_w$  and  $M_n$  and the mean molecular weight dispersity  $M_w/M_n$  were determined in accordance with DIN 55 672, Part 1, by means of gel permeation chromatography. Instead of THF, ortho-dichlorobenzene was used as eluent. Since the olefinic polymers to be investigated are  
20 insoluble at room temperature, the entire measurement is carried out at elevated temperature ( $\gg 135^\circ\text{C}$ ).

### Isotactic content

25 The isotactic content of the homopolymer can be characterized to an approximation by means of the insoluble fraction of the raw material in n-heptane. Usually, a Soxhlet extraction with boiling n-heptane is carried out, it being advantageous to fill the Soxhlet apparatus with a pressed disk instead of granules. The thickness of the pressed disk here should not exceed 500 microns. For quantitative determination of the n-heptane-insoluble content of

the homopolymer, it is of crucial importance to ensure a sufficient extraction time of from 8 to 24 hours.

The operational definition of the isotactic content  $PP_{iso}$  in percent is given by the ratio of the weights of the dried n-heptane-insoluble fraction to the sample weight:

$$PP_{iso} = 100 \times (\text{n-heptane-insoluble fraction/sample weight})$$

An analysis of the dried n-heptane extract shows that this generally does not consist of pure atactic propylene homopolymer. In the extraction, aliphatic and olefinic oligomers, in particular isotactic oligomers, and also possible additives, such as, for example, hydrogenated hydrocarbon resins and wax, are also included in the measurement.

#### Chain isotacticity index

The isotactic content  $PP_{iso}$  defined above, determined as n-heptane-insoluble content, is not sufficient for characterizing the chain isotacticity of the polymer. It proves appropriate to determine the chain isotacticity index II of the homopolymer by means of high-resolution  $^{13}\text{C}$ -NMR spectroscopy, where the NMR sample selected should not be the original raw material, but instead its n-heptane-insoluble fraction. In order to characterize the isotacticity of polymer chains, in practice the  $^{13}\text{C}$ -NMR spectroscopic triad isotacticity index II (triads) is usually used.

#### Determination of the triad-related chain isotacticity index II (triads)

The chain isotacticity index II (triads) of the n-heptane-insoluble content of the homopolymer and of the film is determined from the  $^{13}\text{C}$ -NMR spectrum thereof.

The intensities of triad signals which result from the methyl groups with different local environments are compared.

5 With regard to the evaluation of the  $^{13}\text{C}$ -NMR spectrum, a distinction must be made between two cases:

- A) The raw material investigated is a propylene homopolymer without a random  $\text{C}_2$  content.
- 10 B) The raw material investigated is a propylene homopolymer having a low random  $\text{C}_2$  content, referred to below as  $\text{C}_2\text{-C}_3$ -copolymer.

**Case A:**

15 The chain isotacticity index of the homopolymer is determined from its  $^{13}\text{C}$ -NMR spectrum. The intensities of signals resulting from the methyl groups with different environments are compared. In the  $^{13}\text{C}$ -NMR spectrum of a homopolymer, essentially three groups of signals, so-called triads, occur.

- 20 1. At a chemical shift of from about 21 to 22 ppm, the "mm triad" occurs, which is assigned to the methyl groups having methyl groups directly adjacent on the left and right.
- 25 2. At a chemical shift of about 20.2 to 21 ppm, the "mr triad " occurs, which is assigned to the methyl groups having methyl groups directly adjacent on the left or right.
3. At a chemical shift of about 19.3 to 20 ppm, the "rr triad " occurs, which is assigned to the methyl groups without directly adjacent methyl groups.

The intensities of the assigned signal groups are determined as the integral of the signals. The chain isotacticity index is defined as follows:

$$II \text{ triads} = \frac{J_{mm} + 0.5 J_{mr}}{J_{mm} + J_{mr} + J_{rr}} \cdot 100$$

5

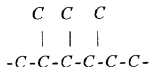
where  $J_{mm}$ ,  $J_{mr}$  and  $J_{rr}$  are the integrals of the assigned signal groups.

#### Case B:

In the  $^{13}\text{C}$ -NMR spectrum of an ethylene-propylene copolymer, the chemical shift of the methyl groups of interest is in the range from 19 to 22 ppm. The spectrum of the methyl groups can be divided into three blocks. In these blocks, the  $\text{CH}_3$  groups appear in triad sequences, whose assignment to the local environments is explained in greater detail below:

#### 15 Block 1:

$\text{CH}_3$  groups in the PPP sequence (mm triad)

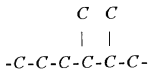


20

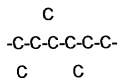
#### Block 2:

$\text{CH}_3$  groups in the PPP sequence (mr or rm triad)

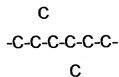
5



10 CH<sub>3</sub> groups in the PPP sequence (rr triads):



CH<sub>3</sub> groups in an EPP sequence (r chain):



CH<sub>3</sub> groups in an EPE sequence:

C

-C-C-C-C-C-C-

5 In the determination of the triad-related chain isotacticity index II (triads) of the n-heptane-insoluble content of an ethylene-propylene copolymer, only PPP triads were considered, i.e. only those propylene units which lie between two adjacent propylene units (cf. also EP-B-0 115 940, page 3, lines 48 and 49).

10 The definition of the triad isotacticity index of an ethylene-propylene copolymer is:

$$II \text{ (triads)} = 100 \times (J_{mm} / J_{ppp})$$

15 Calculation of the chain isotacticity index of an ethylene-propylene copolymer:

1.  $J_{mm}$  is given by the peak integral of block 1.
2. Calculate the integral ( $J_{total}$ ) of all methyl group peaks in blocks 1, 2 and 3.
- 20 3. By simple considerations, it is possible to show that  $J_{ppp} = J_{total} - J_{EPP} - J_{EPE}$ .

Sample preparation and measurement:

25 60 to 100 mg of polypropylene are weighed into a 10 mm NMR tube, and hexachlorobutadiene and tetrachloroethane in a mixing ratio of about 1.5:1 are added until a fill level of about 45 mm has been reached. The suspension is stored at about 140°C. until (generally after about one hour) a homogeneous solution has formed. In order to accelerate the dissolution process, the sample is stirred from time to time with a glass rod.



The  $^{13}\text{C}$ -NMR spectrum is recorded at elevated temperature (generally 365 K) under standard measurement conditions (semi-quantitative).

5 **References:**

W.O. Crain, Jr., A. Zambelli, and J.D. Roberts, *Macromolecules*, **4**, 330 (1971)

A. Zambelli, G. Gatti, C. Sacchi, W.O. Crain, Jr., and J.D. Roberts, *Macromolecules*, **4**, 475 (1971)

10

C.J. Carman and C.E. Wilkes, Rubber Chem. Technol. 44, 781 (1971)

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## TABLE 1

Examples	Respective Interlayer thickness in $\mu\text{m}$	Wax content in the raw-material mixture of the interlayer in % by weight	Calculated resin content in % by weight, based on the total weight of the film (resin in the base layer)	Calculated wax content in % by weight, based on the total weight of the film (wax in the interlayer)	Permeation coefficient in accordance with DIN 53122 at 38°C and 90% relative humidity $\text{g } 20\mu\text{m}/100\text{lin}^2 \text{ 24h}$
E1	1.5	7.0	0	1.0	0.32
E2	1.5	10.0	0	1.5	0.20
E3	1.5	13.3	0	2.0	0.18
E4	3.0	13.3	0	4.0	0.14
E5	1.5	20.0	0	3.0	0.16
E6	3.0	20.0	0	6.0	0.12
E7	1.5	27.0	0	4.0	0.13
E8	1.5	7.0	10	1.0	0.20
E9	1.5	7.0	5	1.0	0.24

1998/N005

HOECHST TRESPAPHAN GMBH

a.m.

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TABLE 2

Comparative Examples	Respective interlayer thickness in $\mu\text{m}$	Calculated resin content in % by weight, based on the total weight of the film (resin in the base layer)	Calculated wax content in % by weight, based on the total weight of the film (wax in the interlayer)	Permeation coefficient in accordance with DIN 53122 at 38°C and 90% relative humidity g 20 $\mu\text{m}^2/100\text{in}^2$ 24h
CE1	0	0	0	0.37
CE2	0	5	0	0.29
CE3	0	8	0	0.27
CE4	0	10	0	0.25
CE5	0	0	1	0.37
CE6	0	0	2	0.35
CE7	0	0	3	0.30
CE8	0	0	4	0.20

1998/N005

HOECHST TRESPAPHAN GMBH

a.m.

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**Patent Claims**

1. A multilayer, biaxially oriented polypropylene film comprising a base layer and at least one heat-sealable top layer and at least one interlayer in accordance with a BZD layer structure, which film comprises wax in its interlayer, wherein the interlayer comprises a wax having a mean molecular weight  $M_n$  of from 200 to 1200.
2. A polypropylene film as claimed in claim 1, wherein the interlayer comprises wax in an amount of from 3 to 40% by weight, preferably from 5 to 30% by weight, based on the weight of the interlayer.
3. A polypropylene film as claimed in claim 1 and/or 2, wherein the wax is a polyethylene wax having an  $M_w/M_n$  of from 1 to 2.
4. A polypropylene film as claimed in one or more of claims 1 to 3, wherein the wax is a macrocrystalline paraffin (paraffin wax) or a microcrystalline paraffin (microwax).
5. A polypropylene film as claimed in one or more of claims 1 to 4, wherein the interlayer has a thickness of from 0.2 to 10  $\mu m$ , preferably from 0.4 to 5  $\mu m$ .
6. A polypropylene film as claimed in one or more of claims 1 to 5, wherein the interlayer comprises a highly isotactic propylene homopolymer having a chain isotacticity index of the n-heptane-insoluble content, determined by  $^{13}C$ -NMR spectroscopy, of at least 95%, preferably from 96 to 99%.
7. A polypropylene film as claimed in one or more of claims 1 to 6, which has a heat-sealable top layer of olefinic polymers on both sides.

8. A polypropylene film as claimed in one or more of claims 1 to 7, wherein wax-containing interlayers of olefinic polymers, preferably propylene homopolymer, are applied on both sides between the base layer and the interlayer(s).

5

9. A polypropylene film as claimed in one or more of claims 1 to 8, which has a matt top layer.

10. A polypropylene film as claimed in one or more of claims 1 to 9, wherein the base layer comprises a highly isotactic propylene homopolymer having a chain isotacticity index of the n-heptane-insoluble content, determined by <sup>13</sup>C-NMR spectroscopy, of at least 95%, preferably from 96 to 99%.

11. A polypropylene film as claimed in one or more of claims 1 to 10, wherein the base layer comprises a hydrocarbon resin in an amount of from 1 to 20% by weight, based on the weight of the base layer.

12. A polypropylene film as claimed in one or more of claims 1 to 11, wherein the base layer comprises an antistatic, preferably a tertiary aliphatic amine.

13. A polypropylene film as claimed in one or more of claims 1 to 12, wherein the film is transparent and has a thickness of from 4 to 80 µm.

14. A polypropylene film as claimed in one or more of claims 1 to 12, wherein the film is opaque and/or white and has a light transparency of at most 70%.

15. A polypropylene film as claimed in claim 14, wherein the film has a vacuole-free interlayer.

16. A polypropylene film as claimed in one or more of claims 1 to 15, wherein the top layer(s) comprise(s) lubricants, preferably polydimethylsiloxane, and antiblocking agents, preferably  $\text{SiO}_2$ .

5

17. A polypropylene film as claimed in one or more of claims 1 to 16, wherein all layers of the film comprise neutralizer and stabilizer.

18. A process for the production of a polypropylene film as claimed in claim 10 1, wherein the orientation in the longitudinal direction is carried out with a longitudinal stretching ratio of from 5:1 to 9:1 and the orientation in the transverse direction is carried out with a transverse stretching ratio of from 5:1 to 10:1.

19. The use of a polypropylene film as claimed in one or more of claims 1 to 15 17 as a packaging film, preferably as a cigarette wrapping film.

□□□□□



I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Number) \_\_\_\_\_ Filing Date \_\_\_\_\_ (Status – patented, pending, abandoned)

(Application Number) \_\_\_\_\_ Filing Date \_\_\_\_\_ (Status – patented, pending, abandoned)

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I hereby appoint Toni-Junell Herbert, Registration No. 34,348, Mark R. Shanks, Registration No. 33,781, David W. Woodward, Registration No. 35,020, Keith D. Hutchinson, Registration No. 43,687, Joseph G. Contrera, Registration No. 44,628, Chalin A. Smith, Registration No. 41,569, Shelly Guest Cermak, Registration No. 39,571, Suzannah K. Sundby, Registration No. 43,172, of SHANKS & HERBERT, telephone (703) 683-3600, with a mailing address at:

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with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

The undersigned hereby authorizes the U.S. Attorneys named herein to accept and follow instructions from undersigned's assignee, if any, and/or, if the undersigned is not a resident of the United States, the undersigned's domestic attorney, patent attorney or patent agent, as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorneys and the undersigned. In the event of a change in the person(s) from whom instructions may be taken, the U.S. attorneys named herein will be so notified by the undersigned.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application of any patent issued thereon.

Full Name of Sole/First Inventor: SPEITH-HERFURTH, Angela

Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence: Egelsbach Citizenship: Germany  
(City, State) (Country)

Post Office Address: Ernst Ludwig Strasse 10, 63329 Egelsbach, GERMANY



**COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name. I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled Multi-Layer Biaxially Oriented Polypropylene Film Having An Improved Barrier, A Method for the Production Thereof, and the Use Thereof the specification of which:

X is attached hereto.  
 \_\_\_\_\_ was filed on \_\_\_\_\_  
 as United States Application Number \_\_\_\_\_  
 or PCT International Application Number \_\_\_\_\_  
 and was amended on \_\_\_\_\_  
 (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above. I do not know and do not believe that the claimed invention was ever known or used in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, and that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (for a utility patent application) or six months (for a design patent application) prior to this application.

I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d), of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

<u>Prior Foreign Application(s)</u>			<u>Priority Claimed</u>	
<u>198 36 657 4</u>	<u>Germany (DE)</u>	<u>13-August-1998</u>	<u>X</u>	
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
<u>PCT/EP99/05910</u>	<u>W/O (PCT)</u>	<u>11-August-1999</u>	<u>X</u>	
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
_____	_____	_____	Yes	No
(Number)	(Country)	(Day/Month/Year Filed)		

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<u>(Application Number)</u>	<u>Filing Date</u>
_____	_____

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X is attached hereto,  
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 (If applicable)

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<u>PCT/EP99/05910</u> (Number)	<u>W/O (PCT)</u> (Country)	<u>11-August-1999</u> (Day/Month/Year Filed)	<u>X</u> Yes	<u>      </u> No
<u>                                </u> (Number)	<u>                                </u> (Country)	<u>                                </u> (Day/Month/Year Filed)	<u>      </u> Yes	<u>      </u> No

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below

                                  
(Application Number)

                                  
Filing Date

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Inventor's Signature: Angela Speith-Herfurth Date: 2 30 0101

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00762572-020001

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09762572-020001

United States Patent & Trademark Office  
Office of Initial Patent Examination

Application papers not suitable for publication

SN 09762572

Mail Date 02/08/01

- ☐ Non-English Specification
- ☒ Specification contains drawing(s) on page(s) \_\_\_\_\_ or table(s) ✓
- ☐ Landscape orientation of text ☐ Specification ☐ Claims ☐ Abstract
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- ☐ Not reproducible
- Section
- Reason
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- ☐ Drawing objection(s)
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